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INDICATION FORMAddress to: 1000 D.C. 20231  
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## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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*Kathy Farrell*  
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## In the following listed application(s) or patent(s):

Patent Number (if appropriate)	Application Number	Patent Date (if appropriate)	U.S. Filing Date
	10/081,076		February 20, 2002

*GAW*  
*1644*

Typed or Printed Name	Catherine M. Polizzi	(check one) <input type="checkbox"/> Applicant or Patentee <input type="checkbox"/> Assignee of record or of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) <input checked="" type="checkbox"/> Attorney or Agent of record
Signature	<i>Catherine M. Polizzi</i>	
Date	October 30, 2002	
Address of signer	Registration No. 40,130  Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018 Telephone: (650) 813-5651 Facsimile: (650) 494-0792	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. see below.

 Total of one (1) forms are submitted.

Burden Hours Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CN, Washington, D.C. 20231.



Commissioner for Patents  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,076	02/20/2002	Stephen M. Coutts	252312006002

### CONFIRMATION NO. 4811

#### FORMALITIES LETTER



\*OC00000009107426\*

Catherine M. Polizzi  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, CA 94304-1018

Date Mailed: 11/14/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

#### *Filing Date Granted*

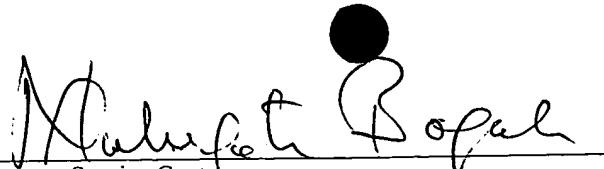
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

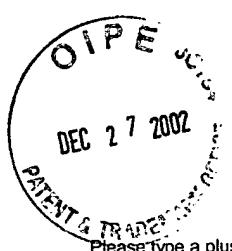
*A copy of this notice **MUST** be returned with the reply.*

  
Nalini Sopal

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



12-31-02

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission **21 + 1 disk**

Application Number **10/081,076**

Filing Date **February 20, 2002**

First Named Inventor **Stephen M. COUTTS**

Group Art Unit **1644**

Examiner Name **To Be Assigned**

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. **252312006002**

### ENCLOSURES (check all that apply)

Fee Transmittal Form - 1 page +  
duplicate for fee processing (2 pages  
total)

Fee Attached

Amendment/Reply (7 pages)

After Final

Affidavits/declarations

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete Application

Response to Missing Parts  
under 37 CFR 1.52 or 1.53

Assignment Papers  
(for an Application)

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation  
 Change of Correspondence Address  
(3 pages)

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to  
Group

Appeal Communication to Board of  
Appeals and Interferences

Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s) (please identify  
below):

- Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequences (2 pages)
- Statement To Support Filing And Submission In Accordance With 37 CFR § 1.821-1.825 And Response To Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/or Amino Acid Sequence Disclosures (2 pages)
- Paper copy of Sequence Listing (4 pages)
- Computer readable copy of the Sequence Listing (1 disk)
- Return Receipt Postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018  Jill A. Jacobson, Registration Number 40,030 for Catherine M. Polizzi, Registration Number 40,130
Signature	
Date	December 27, 2002

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: December 27, 2002

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Tamara Alcaraz

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pa-695343

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DEC 27 2002

# FEE TRANSMITTAL FOR FY 2002



Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 84.00)

Complete if Known	
Application Number	10/081,076
Filing Date	February 20, 2002
First Named Inventor	Stephen M. COUTTS
Examiner Name	To Be Assigned
Group Art Unit	1644
Attorney Docket No.	252312006002

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

03-1952

Deposit Account Name

Morrison &amp; Foerster LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

SUBTOTAL (1) (\$ 0)

**2. EXTRA CLAIM FEES**

Total Claims	- 20 =	Extra Claims	Fee from below	Fee Paid
16	- 20 =	0	x 9	= \$ 0
Independent Claims	5 - 3 =	2	x 42	= \$ 84

## Multiple Dependent

140 = \$ 0

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

\*\* or number previously paid, if greater; For reissues, see above.

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Jill A. Jacobson for Catherine M. Polizzi	Registration No. (Attorney/Agent)	40,030	Telephone	(650) 813-5651
Signature				Date	December 27, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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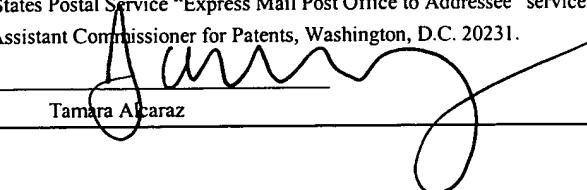
PATENT  
Docket No. 252312006002

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Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Stephen M. COUTTS et al.

Serial No.: 10/081,076

Filing Date: February 20, 2002

For: COMPOSITION FOR INDUCING  
HUMORAL ANERGY TO AN  
IMMUNOGEN COMPRISING A T CELL  
EPITOPE-DEFICIENT ANALOG OF THE  
IMMUNOGEN CONJUGATED TO A  
NONIMMUNOGENIC VALENCY  
PLATFORM MOLECULE

Examiner: To Be Assigned

Group Art Unit: 1644

STATEMENT TO SUPPORT FILING AND SUBMISSION  
IN ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825 AND RESPONSE TO NOTICE TO  
COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

United States Patent and Trademark Office  
Box Sequence  
PO Box 2327  
Arlington, VA 22202

Dear Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed on November 14, 2002.

The undersigned hereby states that the content of the attached papers and the computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same and includes no new matter.

Applicants request consideration and entry of the Sequence Listing paper copy and computer readable copy. Pursuant to 37 C.F.R. 1.77, please enter the paper copy of the Sequence Listing after the Abstract.

In the unlikely event that the Patent Office determines that an extension and/or other relief is required as a result of this statement, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due to our **Deposit account no. 03-1952** referencing docket no.

252312006002.

However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: December 21, 2002

By: Jill A. Jacobson  
Jill A. Jacobson  
Registration No. 40,030

for Catherine Polizzi  
Registration No. 40,130

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
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